Efficient and Robust Hardware for Neural Networks

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Neural Networks



Synapse Network



A Simple Neural Network

Massive multiplication and addition operations (MAC) in neural networks

- GPT-3 in ChatGPT: 96 layers with 175 billion weights → trillions of MAC operations
- Training GPT-3 consumed 1287 MWh energy → 552 tons CO₂ equivalent emission → comparable to the electricity consumption of 120 years for an average U.S. household

Energy Reduction Techniques













Class-Aware Pruning for Efficient Neural Networks

Early-Exit with Class Exclusion for Efficient Inference of Neural Networks

Power Reduction for Digital Accelerators of Neural Networks

Logic-Based Design of Neural Networks

Robustness Enhancement of RRAM-based Neural Network Acceleration

A New Pruning Perspective: Class-Based Criteria

- Different neurons contribute to different number of classes
- Neurons contribute to a few number of classes can be pruned
- Retraining to compensate accuracy loss



The importance of neurons with respect to the number of classes:



 Importance evaluation of a filter with respect to one class → adding the importance for all classes



 Importance of a filter for one class: sensitivities of the resulting activations to cost function

$$\Theta(a_i^f, x_j) = \left| \mathcal{L}(x_j) - \mathcal{L}\left(x_j; a_i^f \leftarrow 0\right) \right|$$

activation output a_1^2 set to zero

 First-order Taylor expansion approximation:

$$\Theta'(a_i^f, x_j) = \left| a_i^f \frac{\partial \mathcal{L}(x_j)}{\partial a_i^f} \right|$$



$$s(a_i^f, x_j) = \begin{cases} 1, \ \Theta'(a_i^f, x_j) > \tau \\ 0, \ \Theta'(a_i^f, x_j) \le \tau \end{cases}$$
$$10^{-50}$$



 Score of one activation output for **one class**:

$$s_{ave}(a_2^1) = \sum_{j=1}^{10} \frac{1}{10} s(a_2^1, x_j)$$

 Importance score of one filter for one class: Maximum





Score of one activation • output for *all classes*: xSum x_2 Inputs Activation Outputs Convolutional (Feature maps) layer x_{10} filter 1 $s_{1,3} = \max\{s_{ave}(a_0^1), s_{ave}(a_1^1), \dots, s_{ave}(a_{63}^1)\}$ Cat Airplane Deer Horse . . . **Total importance** score for *filter 1* is (0.9 0.40.5 (8.0)= 6.5

Regularization In Training

- Polarized scores facilitate pruning
- Cost function:

$$\mathcal{L} = \mathcal{L}_{CE} + \lambda_1 \mathcal{L}_1 + \lambda_2 \mathcal{L}_{orth}$$

 Convolutional-orthogonality regularization: Penalize similarity between filters

$$\mathcal{L}_{orth} = \sum_{l=1}^{H} \left\| \mathcal{K} \mathcal{K}^{T} - I \right\|_{2}$$

• L1 regularization: Penalty the number of weights, sparse the weight matrix

$$\mathcal{L}_1 = \sum_{l=1}^n \left\| \mathbf{W}_l
ight\|_1$$

[Convolutional-orthogonality]: J. Wang, Y. Chen, R. Chakraborty, and S. X. Yu, "Orthogonal convolutional neural networks," in IEEE/CVF Conference on Computer Vision and Pattern Recognition (CVPR), 2020

Pruning Workflow

- Iterative pruning:
 - Evaluation
 - Pruning
 - Fine-tune
 - Whether to loop



Importance Score Distribution

Before pruning: lower importance scores



Filter importance scores distribution before pruning: VGG16-CIFAR10

Importance Score Distribution

• After pruning: shift right, higher average score



Filter importance scores distribution before and after pruning: VGG16-CIFAR10

Experimental Results

| NN-Dataset | Accuracy o | comparison | Pruning performance | | |
|-----------------------|------------|------------|---------------------|--------------------|--|
| | Original | Pruned | Pruning ratio | FLOPs reduction | |
| VGG16-CIFAR10 | 93.90% | 92.99% | 95.6% | 77.1% | |
| VGG19- CIFAR100 | 73.49% | 72.56% | 85.4% | 75.2% | |
| ResNet56- CIFAR10 | 93.71% | 92.89% | 77.9% | 62.3% | |
| ResNet56- CIFAR100 | 72.36% | 71.49% | 50.0% | 43.8% | |

Mengnan Jiang, Jingcun Wang, Amro Eldebiky, Xunzhao Yin, Cheng Zhuo, Ing-Chao Lin and Grace Li Zhang, "Class-Aware Pruning for Efficient Neural Networks", *Design Automation and Test in Europe*, 2024, **Nominated as Best Paper Award**



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Early-Exit with Class Exclusion



- Previous early-exit discard intermediate results if an intermediate cannot decide the correct class
- Proposed: Learned features in early layers are used to exclude as many irrelevant classes as possible

Jingcun Wang, Bing Li and Grace Li Zhang, Early-Exit with Class Exclusion for Efficient Inference of Neural Networks, International *Conference* on Artificial Intelligence Circuits and Systems (AICAS), 2024

Class-Exclusion Neural Network Construction



- Individual class-exclusion network to each class
- A fully-connected network + Sigmoid function for class exclusion

Class-Exclusion Strategy for Dynamic Inference



- Relative magnitude of probabilities generated by classexclusion networks used to exclude classes
- A search algorithm is used to determine a class-exclusion coefficient, denoted as $\boldsymbol{\beta}$

Experimental Results

| NN | Original | Proposed | FLOPs(G) Ori. | FLOPs(G) Pro. | Red. |
|-----------------------|----------|----------|------------------|------------------|--------|
| AlexNet- CIFAR10 | 90.54% | 89.34% | 1.4386 | 1.0375 | 27.88% |
| VGGs- CIFAR10 | 93.89% | 91.93% | 1.5460 | 1.0668 | 31% |
| VGGs- CIFAR100 | 72.19% | 71.11% | 1.5463 | 1.1535 | 25.4% |
| ResNet50- CIFAR100 | 76.46% | 74.39% | 2.6008 | 1.7411 | 33.06% |

Experimental Results



The average number of excluded classes in each exit point of intermediate layers in neural networks.



The number of input images that can be classified in the intermediate exit point of neural networks.



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Digital Hardware Acceleration of Neural Networks



- Weights and inputs pipelined through a systolic array for a better performance
- MAC operations implemented in digital circuits → need to balance PPA

N. P. Jouppi et al., "In-datacenter performance analysis of a tensor processing unit," Int. Symp. Comput. Arch. (ISCA), 2017.

Power-driven Weight Selection



- Power consumption of a MAC unit determined by input transitions
- Select weight values according to average power consumption

R. Petri, L. Zhang, Y. Chen, U. Schlichtmann, B. Li, "PowerPruning: Selecting Weights and Activations for Power-Efficient Neural Network Acceleration", *ACM/IEEE Des. Autom. Conf. (DAC)*, 2023

Delay-driven Weight Selection



- Select weight values and activations according to the delays of circuit paths triggered in the MAC units
- Voltage scaling V1 to reduce power consumption $P \sim V^2$

R. Petri, L. Zhang, Y. Chen, U. Schlichtmann, B. Li, "PowerPruning: Selecting Weights and Activations for Power-Efficient Neural Network Acceleration", *ACM/IEEE Des. Autom. Conf. (DAC)*, 2023

Power Reduction Results

| NN | Original | PowerPruning | Power reduction | # selected weights | #selected actiations |
|---------------------------------------|----------|--------------|-----------------|-----------------------|-------------------------|
| LeNet-5- CIFAR-10 | 80.6% | 78.5% | 78.3% | 35 | 210 |
| ResNet-20- CIFAR-10 | 91.9% | 89.6% | 56.6% | 35 | 210 |
| ResNet-50- CIFAR-100 | 79.9% | 78.5% | 77.6% | 41 | 223 |
| EfficientNet -B0-Lite- ImageNet | 73.8% | 72.9% | 20.8% | 50 | 236 |

Powerpruning can reduce power significantly with a slight accuracy loss.

R. Petri, L. Zhang, Y. Chen, U. Schlichtmann, B. Li, "PowerPruning: Selecting Weights and Activations for Power-Efficient Neural Network Acceleration", *ACM/IEEE Des. Autom. Conf. (DAC)*, 2023

Outlines

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Logic Implementation: Multiplier



Logic circuit of a 2-bit signed multiplier. (a) The original circuit; (b) The logic circuit simplified with a fixed quantization weight (decimal: -2, binary: 10).

- Use the fixed weights after training to simplify the logic of the multipliers at neurons.
- Example of a 2-bit signed multiplier after embedding a weight:
 - Delay: ↓57.72% Power consumption: ↓66.12% Number of transistors: ↓60%

Logic Implementation: Adder and MAC



(a) MAC operations at a neuron; (b) 2-bit signed multipliers simplified with the fixed quantized weights; (c) 4-bit signed adder circuit before logic simplification, FA is a 1-bit full adder; (d) Circuit of the simplified MAC.

MAC after weight embedding:

- Delay: 170.07%
- Power consumption: ↓60.94%
- Transistors: ↓65%

Hardware-Aware Training



Area of multipliers simplified with 8-bit quantized weights.

Weight Selection

① Rank the weight values according to the area of the simplified multipliers

- ② Select the top n weights that lead to the smallest multiplier area
- ③ If the validation accuracy is much lower, more weight values are selected

Power and Area Results



Hardware-aware training

• 66.26% for the NID task

Optical Fiber Communication (OFC); Jet Substructure Classification (JSC); Network Intrusion Detection (NID)

K. Xu, L. Zhang, U. Schlichtmann, B. Li, "Logic Design of Neural Networks for High-Throughput and Low-Power Applications", *IEEE/ACM Asia and South Pacific Design Automation Conference (ASP-DAC)*, 2024



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RRAM-based Neural Network Acceleration



- Weights and inputs are represented with RRAM conductances and voltages, respectively.
- Vector-matrix multiplication is implemented based on Ohm's law and Kirchhoff's law.

Accuracy Degradation due to Process Variations

- Process variations

 → weight deviations
 → erroneous feature
 maps → error
 amplification across
 layer → accuracy
 loss
- Log-normal model of weight distribution

$$W_{variation} = W_{nominal} * e^{\theta}$$
$$\theta \sim N(0, \sigma^2)$$



Inference accuracy degradation of neural networks under variations.

Structural Error Compensation Countering Variations



Error compensation for a convolutional layer

- Generator: generate error compensation data
- Compensator: correct the erroneous feature map with the compensation data

Amro Eldebiky, Grace Li Zhang, Georg Boecherer, Bing Li, Ulf Schlichtmann, "CorrectNet: Robustness Enhancement of Analog In-Memory Computing for Neural Networks by Error Suppression and Compensation", Design, Automation and Test in Europe (DATE), April 2023, **Nominated as Best Paper Award**

Error Suppression



 Uncertainty propagation suppression in a layer with Lipschitz Constant Regularization, θ~N(0,σ)

$$(\boldsymbol{w} \circ \boldsymbol{e}^{\boldsymbol{\theta}} \cdot \boldsymbol{x}_{1} + \boldsymbol{b}) - (\boldsymbol{w} \circ \boldsymbol{e}^{\boldsymbol{\theta}} \cdot \boldsymbol{x}_{2} + \boldsymbol{b}) \big|_{p} \leq k |\boldsymbol{x}_{1} - \boldsymbol{x}_{2}|_{p} , \ k < 1$$

$$sup \frac{|\boldsymbol{w} \circ \boldsymbol{e}^{\boldsymbol{\theta}} \cdot (\boldsymbol{x}_{1} - \boldsymbol{x}_{2})|_{p}}{|\boldsymbol{x}_{1} - \boldsymbol{x}_{2}|_{p}} = \|\boldsymbol{w} \circ \boldsymbol{e}^{\boldsymbol{\theta}}\|_{p} \leq k$$

Bound e^{θ} by $\mu_{e^{\theta}} + 3\sigma_{e^{\theta}}$: $\|\boldsymbol{w}\|_{p} \leq \frac{k}{\mu_{e^{\theta}} + 3\sigma_{e^{\theta}}} = \lambda$

Error Suppression



- Using the 2-norm (spectral norm) → the maximum singular value of the matrix
- Singular values of W are the square roots of the eigenvalues of W^TW

$$L = L_{CE} + \beta * \sum_{w_l \in W} \left\| w_l^T w_l - \lambda^2 I \right\|^2$$
$$\lambda = \frac{k}{\mu_{e^{\theta}} + 3\sigma_{e^{\theta}}} = \frac{k}{e^{\frac{\sigma^2}{2}} + 3\sqrt{(e^{\sigma^2} - 1)e^{(\sigma^2)}}}$$

Experimental Results

| Network/ Dataset | Original model Accuracy | | CorrectNet Accuracy | CorrectNet Overhead | |
|---------------------|----------------------------|--------|------------------------|---------------------|---------|
| | σ= 0 | σ= 0.5 | σ= 0.5 | Weight | #Layers |
| VGG16/ Cifar100 | 70.52% | 1.69% | 67.01% | 1.03% | 4 |
| VGG16/ Cifar10 | 93.2% | 16.01% | 91.29% | 0.58% | 3 |
| LeNet/ Cifar10 | 80.89% | 25.29% | 74.9% | 3.47% | 1 |
| LeNet/ MNIST | 98.79% | 84.58% | 97.74% | 5% | 2 |

Thank you for your attention!